

Policy/Quote Number _____ Agency Name _____ Agency Number _____

Effective Date _____ Producer Name _____

Applicant _____

Operations

1. Describe the business operations of applicant: _____

2. Describe the types of work completed by employees of applicant (e.g., finish carpentry, drywall, electrical, etc.)

3. Describe the types of work subcontracted out to others:

4. Is this contracting operation a new venture? Yes No

5. Years of experience in this type of work: _____

6. If work is done in AZ or NV, do they have a contractor's license? Yes No License # _____

7. What is the approximate breakdown of applicant's work:

_____ % General Contractor

_____ % Tract housing (more than 20 new homes built in any one year)

_____ % Multi-family (apartments, condos, townhomes or mixed-use buildings)

_____ % Custom single-family (no more than 20 new homes built in any one year)

_____ % Commercial

_____ % Industrial

_____ % Other (please explain) _____

= 100%

_____ % Subcontractor – Work done as sub to other contractors

_____ % Tract housing (work for GC who has built > 20 new homes in any one year)

_____ % Multi-family (apartments, condos, townhomes, or mixed-use buildings)

_____ % Custom single-family (work for GC who has not built > 20 new homes in any one year)

_____ % Repair or warranty work done for GC or developer of tract or multi-family housing

_____ % Commercial

_____ % Industrial

_____ % Other (please explain) _____

= 100%

_____ % Service or repair work done directly for customer (e.g., building or land owner)

_____ % Residential single-family (tract or custom)

_____ % Multi-family (apartments, condos, townhomes, or mixed-use buildings)

_____ % Commercial

_____ % Industrial

_____ % Other (please explain) _____

= 100%

= 100%

8. Has any new residential construction (single or multi-family) been done in the past 8 years? Yes No

If yes, please complete the following:

a. Has the applicant ever acted as a general contractor or developer? Yes No

b. Maximum # of new homes in any one subdivision/development on which you have worked? _____

If > 20, what is the maximum # for any single GC/developer in that subdivision or development? _____

c. Maximum # of units in any new multi-family building on which you have worked? _____

d. Maximum # of buildings in any new multi-family complex on which you have worked? _____

*8c and 8d above are required in Colorado.

Operations (continued)

9. Has the applicant performed other types of construction in the past? Yes No If yes, please explain:

10. On average, how many projects does the applicant complete each year? _____ (optional for new venture)

11. Any current or past involvement with OCIP/wrap-up jobs? Yes No

If yes, please explain: _____

12. What is the radius of the applicant's working area? _____

13. Within the last three years, has any work been performed outside the state where you are currently located?

Yes No

State	Total Receipts	State	Total Receipts
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Describe out-of-state projects: _____

14. Does or has the applicant ever acted as a construction manager for others? Yes No

15. Any work on landfill or subsidence areas, or on hillsides with a slope steeper than 25%? Yes No

If yes, please explain: _____

16. List and describe the last five (5) projects completed by applicant (optional for new venture):

Job Description	City, County, State	Duration of Job	Job Price

17. List and describe the three (3) largest projects completed by applicant within the last three (3) years:

Job Description	City, County, State	Duration of Job	Job Price

Payroll/Receipts

Provide the following information for the past 3 years (use audited information if possible) and give an estimate for the next 12 months:

Year	Total Receipts	Payroll (excluding owners, partners, and officers)
_____	\$ _____	\$ _____
_____	\$ _____	\$ _____
_____	\$ _____	\$ _____
Estimate	\$ _____	\$ _____

Subcontractors

1. What is the total cost of subcontracted work on an annual basis? \$ _____
2. Does the applicant require a certificate of insurance from subcontractors? Yes No
3. Indicate the liability limit required of all hired subcontractors: \$ _____
4. Does the applicant require the subcontractor to name them as additional insured on the subcontractor's general liability policy? Yes No

Claims/Lawsuits	<p>Has the applicant been involved in any past or pending claims and/or litigation regarding:</p> <p>a. Faulty or defective construction or workmanship? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>b. Subsidence or any other type of earth movement? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Please explain all yes answers: _____</p> <p>_____</p> <p>_____</p>
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Earth Movement	<p>If work is done in Colorado and/or Nevada:</p> <p>1. Is there any work ongoing, or work completed in the past 8 years:</p> <p>a. East of the Rocky Mountains (i.e., Colorado Front Range/foothills east to the KS/NE border)? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>b. As a general contractor, builder/vendor, developer, engineer, or architect for:</p> <p>(1) The construction of any building or structure? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>(2) Additions or structural renovations to any building or structure? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>(3) Any street, road, utility, or land development or improvement project? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>c. In which the applicant has contracted directly with the owner of a building or piece of land to do (or sub out) any of the following types of work?</p> <p>(1) Excavation/backfill? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>(2) Grading of land? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>(3) Retaining walls more than 4 feet in height? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>(4) Foundations? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>(5) Shoring, underpinning, pier drilling, or caisson work? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>(6) Street or road construction? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If the answer to any of these questions is yes, please explain: _____</p> <p>_____</p> <p>_____</p>
	<p>2. Is there any work ongoing, or completed in the past 6 years, in which the applicant is the party responsible for completing geotechnical soil analysis? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If yes:</p> <p>a. Describe work _____</p> <p>b. Number of jobs in which the applicant was responsible for soil analysis _____</p> <p>c. Has applicant done such analysis on all required jobs in the last 6 years? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>d. Are all recommendations followed? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>e. How long are records retained? _____</p>

Remarks	<p>Remarks _____</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>_____</p>
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Anti-Fraud

Any person who knowingly and with intent to defraud any insurance company or another person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime and subjects the person to criminal and [NY: substantial] civil penalties. (Not applicable in CO, FL, HI, KS, KY, MA, NE, OH, OK, OR, TN, or VT; in DC, LA, ME, VA, and WA, insurance benefits may also be denied.)

Colorado: It is unlawful to knowingly provide false, incomplete, or misleading facts or information to an insurance company for the purpose of defrauding or attempting to defraud the company. Penalties may include imprisonment, fines, denial of insurance, and civil damages. Any insurance company or agent of an insurance company who knowingly provides false, incomplete, or misleading facts or information to a policyholder or claimant for the purpose of defrauding or attempting to defraud the policyholder or claimant with regard to a settlement or award payable from insurance proceeds shall be reported to the Colorado Division of Insurance within the Department of Regulatory Agencies.

Kansas: Any person who, knowingly and with intent to defraud an insurer or representative of an insurer presents any written statement as part of or in support of an application, or the rating of an insurance policy, or a claim which such person knows to contain materially false information concerning any fact material or conceals for the purpose of misleading information concerning material fact commits a fraudulent insurance act, which is subject to criminal and civil penalties.

Kentucky: Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance containing any materially false information or conceals, for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime.

Ohio: Any person who, with intent to defraud or knowing that he is facilitating a fraud against an insurer, submits an application or files a claim containing a false or deceptive statement is guilty of insurance fraud.

Tennessee: It is a crime to knowingly provide false, incomplete, or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines, and denial of insurance benefits.

Signatures

Applicant's Signature _____ Date _____

Producer's Signature _____ Date _____